



Declaration for Electronic Filing Of Income Tax Return

IT-201-E

• For electronic filing of resident Form IT-201, or nonresident/part-year resident Form IT-203

Declaration Control Number											
0	0	-									9

For the calendar year January 1, 1998, through December 31, 1998.

Attach label, or print or type	Please enter your first name first. For a joint return, use both name lines.		
	Your first name and middle initial	Your last name (if joint return, enter spouse's name on the line below)	Your social security number
	Spouse's first name and middle initial	Spouse's last name	Spouse's social security number
	Mailing address (number and street or rural route)	Apartment number	Daytime phone number (optional) ()
	City, village or post office	State	ZIP code

For office use only

Part I — Tax Return Information (Whole dollars only; see instructions on back for assistance.)

Check this box if public employee 414(h) contributions or NY City IRC 125 flexible benefits program adjustments were made

1 Federal adjusted gross income (from Form IT-201, line 18, or Form IT-203, line 18, Federal Amount column)	1
2 New York adjusted gross income (from Form IT-201, line 30, or Form IT-203, line 30, Federal Amount column)	2
3 Total New York State tax withheld (from Form IT-201, line 62, or Form IT-203, line 58)	3
4 Total city of New York tax withheld (from Form IT-201, line 63, or Form IT-203, line 59)	4
5 Total city of Yonkers tax withheld (from Form IT-201, line 64, or Form IT-203, line 60)	5
6 Amount to be refunded to you (from Form IT-201, line 68, or Form IT-203, line 64)	6
7 Amount you owe (from Form IT-201, line 70, or Form IT-203, line 66)	7

Part II — Declaration of Taxpayer (see instructions on back)

Under penalty of perjury, I declare that I have compared the information on my 1998 New York State personal income tax return with the information I have provided to my authorized program participant and that the amounts described in Part I above agree with the amounts shown on the corresponding lines on my 1998 New York State personal income tax return. To the best of my knowledge and belief, my return is true, correct and complete. My program participant has my consent to send my 1998

New York State personal income tax return, including accompanying schedules and statements, directly to New York State or through the Internal Revenue Service. I understand that if there is an error which results in the rejection of my federal return, my New York State return may also be rejected. **If the processing of my return or refund is delayed, I authorize New York State to disclose to my program participant the reason(s) for the processing delay or date of refund issuance.**

Sign Your Return	Your signature	Date	Spouse's signature (if joint return, both must sign)	Date
-------------------------	----------------	------	--	------

Part III — Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions on back)

Attach Copy 2 of your wage and tax statement(s) here.

I declare that I have reviewed the above taxpayer's 1998 New York State income tax return and that the entries on Form IT-201-E are complete and correct to the best of my knowledge. (If I am only a collector, I understand that I am not responsible for reviewing the taxpayer's return. I declare only that Form IT-201-E accurately reflects the data on the electronic return.) I have obtained the taxpayer's signature on Form IT-201-E before submitting the electronic return directly to New York State or through the Internal Revenue Service, and have provided the taxpayer with a copy of all forms and information to be filed with New York State. I have followed all other requirements applicable to the Internal Revenue Service/New York State program for

the federal/state or direct electronic filing of the 1998 federal and New York State income tax returns, as described in the New York State Tax Department Publication 84, Handbook for Electronic Filers of Personal Income Tax Returns (Tax Year 1998), and the Internal Revenue Service Publication 1345, Handbook for Electronic Filers of Individual Income Tax Returns (Tax Year 1998). If I am the paid preparer, under penalty of perjury, I declare that I have examined the above taxpayer's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct and complete. (The declaration of the paid preparer is based upon all information of which the preparer has any knowledge.)

ERO's Use Only	ERO's signature	Telephone number ()	Date / /	Check if also a paid preparer <input type="checkbox"/>	Check if self-employed <input type="checkbox"/>
	Firm's name (or yours, if self-employed)			Your social security number	
	Address	ZIP code	Employer identification number		

Under penalty of perjury, I declare that I have examined the above taxpayer's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. (The declaration of the paid preparer is based on all information of which the preparer has any knowledge.)

Paid Preparer's Use Only	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>		
	Firm's name (or yours, if self-employed)	Preparer's social security number	Employer identification number		
	Mailing address	City, village or post office	State	ZIP code	

Instructions

New for Tax Year 1998:

Form IT-201-E has been adapted for use when electronically filing Form IT-201, *Resident Income Tax Return*, or Form IT-203, *Nonresident and Part-year Resident Income Tax Return*, through either the **Federal/State** or the **New York State Direct Electronic Filing** program. This form has also been adapted for use by taxpayers filing through the **Home PC On-Line** electronic filing program.

Electronic Return Originators —

Form IT-201-E must be filed by Electronic Return Originators (EROs) participating in either the Federal/State or New York State Direct Electronic Filing program. EROs should submit Form IT-201-E in declaration control number (DCN) order within one week of receiving the state acceptance acknowledgment. The Tax Department will monitor EROs to ensure that these forms are completed and submitted properly.

The DCN is a 14-digit number assigned by the ERO to each taxpayer's return. If filing through the Federal/State program, this number must be the same for both federal and state returns. For Federal/State filing, this number should be entered after the Internal Revenue Service (IRS) has acknowledged receipt of the electronic portion of the return. For New York State direct filing, the number should be entered after New York State has acknowledged acceptance of the electronic portion of the return.

Individual Taxpayers —

Form IT-201-E must be submitted directly to New York State by taxpayers participating in the Home PC On-Line electronic filing program. Taxpayers should submit Form IT-201-E within one week of receiving the state acceptance acknowledgment from their service provider. Failure to submit Form IT-201-E may prohibit you from electronically filing next year.

The DCN is a 14-digit number assigned to your return by your on-line service provider or transmitter. It is included in the acknowledgment message. If the DCN is not printed on Form IT-201-E, type or print the number in the boxes provided. The first two digits are always "00". The next six digits are the electronic filing identification number. The next five digits are the batch and serial number. The last digit, "9," represents the year the return is filed (1999).

Name, Address and Other Information —

If a peel-off label is available, place the label in the name area. Cross out any errors in the name(s) or address and make the corrections directly on the label. If other information is incorrect or missing, or if a label is not available, print or type the information in the spaces provided.

Note: The address on this Form IT-201-E must match the address shown on the electronically filed return.

Part I — Tax Return Information

Refund returns, even returns, or balance-due returns are acceptable for filing in the New York State electronic filing program.

Attach copies of Forms W-2 / IT-2102, W-2G / IT-2102-G, and 1099-R / IT-2102-P in the area designated on the front of this Form IT-201-E.

If Form IT-112-R, *New York State Resident Tax Credit*, is electronically filed, attach a paper copy of the Canadian provincial, other state, or local tax return or returns to this Form IT-201-E.

Part II — Declaration of Taxpayer

An electronically transmitted return will not be considered complete until a signed Form IT-201-E is received by New York State. For purposes of Home PC On-Line electronic filing, a program participant is your on-line service provider or transmitter. For the practitioner ELF programs, the program participant is the ERO or transmitter.

Note: On a jointly filed Form IT-203 with only one spouse having New York source income, your signature also certifies that you have New York source income and your spouse **does not. The spouse with no New York source income is not** required to sign Part II of Form IT-201-E and cannot be held liable for any tax, penalty, or interest that may be due. The name and social security number of this spouse must be identified in the electronic portion of the return

If the ERO makes changes to the electronic return after Form IT-201-E has been signed by the taxpayer, but before it is transmitted, the ERO must have the taxpayer complete and sign a corrected Form IT-201-E.

Please note: **Part III is not applicable for Home PC On-Line** filing.

Part III — Declaration of Electronic Return Originator (ERO) and Paid Preparer

The ERO's signature is required by New York State. If the ERO is also a paid preparer, complete the *ERO's Use Only* section of Part III and place a checkmark in the *Check if also a paid preparer* box.

If the ERO and the paid preparer are not the same, each must complete the appropriate signature section of Part III.

Where to File

— If filed using the U.S. Postal Service, Form IT-201-E must be sent to:

NYS DEPARTMENT OF TAXATION AND FINANCE
ELECTRONIC FILING PROGRAM - INCOME TAX
PO BOX 5400
ALBANY NY 12205-0400

— If filed using a **private delivery service**,* Form IT-201-E must be sent to:

NYS DEPARTMENT OF TAXATION AND FINANCE
ELECTRONIC FILING PROGRAM - INCOME TAX
W A HARRIMAN CAMPUS
BUILDING 8
ALBANY NY 12227

* The date recorded or marked by certain **private delivery services**, as designated by

the U.S. Secretary of the Treasury or the Commissioner of Taxation and Finance, will be treated as a postmark, and that date will be considered to be the date of delivery in determining whether your Form IT-201-E was filed on time. Designated delivery services are listed in Publication 55, *Designated Private Delivery Services*.

Refund — The Department of Taxation and Finance will make every effort to process an electronically filed return immediately upon receipt. Taxpayers can expect to receive electronic return refunds up to three weeks faster than paper returns.

Amount You Owe —

If you are filing an electronic balance-due return, you must send your payment with Form IT-201-V, *Payment Voucher for Income Tax Returns Filed Electronically*, by April 15, 1999.

Information — If it has been at least four weeks since the Tax Department accepted your return, you may call New York State Taxpayer Assistance toll free at **1 800 353-0708** to check the status of your refund. From areas outside the U.S. and outside Canada, call (518) 485-6800.

Telephone assistance is available from 8:30 a.m. to 4:25 p.m. (eastern time), Monday through Friday. Taxpayers will need to know the DCN, the date their electronic return was accepted by the IRS and their prime social security number before calling for assistance.

Federal/State Tax Agreement —

Under authority of federal and New York State laws, the New York State Department of Taxation and Finance and the Internal Revenue Service have entered into a federal/state agreement for the mutual exchange of tax information.

Privacy Notification

The right of the Commissioner of Taxation and Finance and the Department of Taxation and Finance to collect and maintain personal information, including mandatory disclosure of social security numbers in the manner required by tax regulations, instructions, and forms, is found in Articles 22, 26, 26-A, 26-B, 30, 30-A, and 30-B of the Tax Law; Article 2-E of the General City Law; and 42 USC 405(c)(2)(C)(i).

The Tax Department will use this information primarily to determine and administer tax liabilities due the state and city of New York and the city of Yonkers. We will also use this information for certain tax offset and exchange of tax information programs authorized by law, and for any other purpose authorized by law.

Information concerning quarterly wages paid to employees and identified by unique random identifying code numbers to preserve the privacy of the employees' names and social security numbers will be provided to certain state agencies for research purposes to evaluate the effectiveness of certain employment and training programs.

Failure to provide the required information may result in civil or criminal penalties, or both, under the Tax Law.

This information will be maintained by the Director of the Registration and Data Services Bureau, NYS Tax Department, Building 8 Room 924, W A Harriman Campus, Albany NY 12227; telephone 1 800 225-5829. From areas outside the U.S. and outside Canada, call (518) 485-6800.